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CONFIRMATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. FILING DATE APPLICATION NO. 3749 700.03-USI 10/05/2001 Dusan Miljkovic 09/972,181 EXAMINER 04/20/2005 34284 7590 OH, TAYLOR V ROBERT D. FISH **RUTAN & TUCKER LLP** ART UNIT PAPER NUMBER 611 ANTON BLVD 14TH FLOOR COSTA MESA, CA 92626-1931 1625

DATE MAILED: 04/20/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

				<u> </u>	
		Application No.	Applicant(s)		
		09/972,181	MILJKOVIC, DUSAN		
	Office Action Summary	Examiner	Art Unit		
		Taylor Victor Oh	1625		
Period fo	The MAILING DATE of this communication or Reply	appears on the cover sheet wi	th the correspondence address		
A SH THE - Exte after - If the - If NO - Failu Any	ORTENED STATUTORY PERIOD FOR RIMAILING DATE OF THIS COMMUNICATION of time may be available under the provisions of 37 CF SIX (6) MONTHS from the mailing date of this communication period for reply specified above is less than thirty (30) days, o period for reply is specified above, the maximum statutory per to reply within the set or extended period for reply will, by streply received by the Office later than three months after the red patent term adjustment. See 37 CFR 1.704(b).	ON. FR 1.136(a). In no event, however, may a rn. a reply within the statutory minimum of thin eriod will apply and will expire SIX (6) MON statute, cause the application to become AE	reply be timely filed ty (30) days will be considered timely. ITHS from the mailing date of this communications 3ANDONED (35 U.S.C. & 133).	ation.	
Status					
1)⊠	Responsive to communication(s) filed on g	01 February 2005.			
		This action is non-final.			
3)[Since this application is in condition for allowance except for formal matters, prosecution as to the merits is				
	closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.				
Dispositi	ion of Claims				
4)🖂	Claim(s) <u>1,2,5-7,10-17 and 20</u> is/are pend	ing in the application.			
	4a) Of the above claim(s) is/are withdrawn from consideration.				
	Claim(s) is/are allowed.				
6) Claim(s) 1,2,5-7,10-17 and 20 is/are rejected.					
7)	Claim(s) is/are objected to.				
8)□	Claim(s) are subject to restriction a	nd/or election requirement.			
Applicati	ion Papers				
9)[]	The specification is objected to by the Exar	miner		·	
	The drawing(s) filed on <u>15 January 2004</u> is		biected to by the Examiner		
,—	Applicant may not request that any objection to				
÷	Replacement drawing sheet(s) including the co			21(d).	
11)	The oath or declaration is objected to by th				
Priority ι	ınder 35 U.S.C. § 119				
	Acknowledgment is made of a claim for for All b) Some * c) None of: 1. Certified copies of the priority docun 2. Certified copies of the priority docun	nents have been received.			
	3. Copies of the certified copies of the				
	application from the International Bu		Toodived in this Matterial Stage		
* 5	See the attached detailed Office action for a	` ''	received.		
Attachmen	t(s)				
1) 🔲 Notic	e of References Cited (PTO-892)	4) 🗍 Interview S	Summary (PTO-413)		
2) 🔲 Notic	e of Draftsperson's Patent Drawing Review (PTO-948) Paper No(s	s)/Mail Date		
3) L Inforr Pape	nation Disclosure Statement(s) (PTO-1449 or PTO/SE r No(s)/Mail Date	3/08) 5) \(\bigcap \text{Notice of Ir} \\ 6) \(\bigcap \text{Other:} \)	nformal Patent Application (PTO-152)		

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Final Rejection

The Status of Claims

Claims 1-2, 5-7, 10-17, and 20 are pending.

Claims 1-2, 5-7, 10-17, and 20 are rejected.

Claim Rejections-35 USC 112

1. Applicants' argument filed 2/1/05 have been fully considered but they are not persuasive.

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

The rejection of Claims 1-2, 5-7, 10-17, and 20 under 35 U.S.C. 112, second paragraph, has been maintained due to applicants' failure to modify the claims according to the examiner's suggestion in the previous Office Action.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

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The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-2, 5-7, 10-17, and 20 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In claims 1 and 17, the phrase "the oxidizable compound comprises at least one of a vicinal diol and a hydroxyl in vicinal position to a keto or O group "is recited. This is vague and indefinite because the expression of the term "comprising" would imply that there are other components besides the diol and to the keto and/or O group present in the oxidizable compound. There is uncertainty as to what other groups are present in the oxidizable compound. Furthermore, since there is no elaborated concrete chemical structure / or chemical name for the oxidizable compound, this is absolutely indefinite. Therefore, an appropriate correction is required.

In claims 1 and 17, the phrase "an electrophile compound that <u>comprises</u> a group thirteen or group fourteen metal" is recited. This is vague and indefinite because the expression of the term "comprising" would imply that there are other components besides the group thirteen or group fourteen metal present in the electrophile compound. There is uncertainty as to what other groups are present in the electrophile compound. Furthermore, since there is no elaborated concrete chemical structure/ or chemical name for the electrophile compound, this is absolutely indefinite. Therefore, an appropriate correction is required.

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In claim 2, the phrase "the oxidizable compound includes a structure according to structures 1-3" is recited. This is vague and indefinite because the expression of the term "include" would imply that there are other components besides the structures 1-3 present in the oxidizable compound. There is uncertainty as to what other groups are present in the oxidizable compound. Therefore, the examiner recommends to change from "include" to "is selected from the group consisting of". Therefore, an appropriate correction is required.

In claim 2, the phrase "the substituted or unsubstituted alkyl, alkenyl, alkynyl, aryl, ary, alkaryl optionally include a heteroatom selected from the group consisting of O, S,N, Se, and P "is recited. This is vague and indefinite because the expression of the term "include" would imply that there are other components besides the O, S,N, Se, and P present in the substituted or unsubstituted compound. There is uncertainty as to what other groups are present in the substituted or unsubstituted compound. Therefore, the examiner recommends to change from "include" to "have". Therefore, an appropriate correction is required.

Applicants' Argument

- 2. Applicants argue the following issues:
 - 1. the claims as presently amended herein are directed to specific and defined compounds and the scope of the compounds in the claims should be readily ascertainable by a person of ordinary skill in the art.

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The applicants' argument have been noted, but these arguments are not persuasive.

First, with respect to the applicant's argument, the Examiner has noted applicants' argument. However, the claims are still not well-defined unlike the applicant's assertion because the added claimed limitations, such as "the oxidizable compound comprises at least one of a vicinal diol and a hydroxyl in vicinal position to a keto or O group" and "an electrophile compound that comprises a group thirteen or group fourteen metal" contain the ambiguous term: "comprises"; furthermore, there are no specific and defined compounds and the scope of the compounds in the claims; especially, in claims 1 and 17. In addition, the second paragraph of 35 USC 112 requires that the claims particularly point out the subject matter that applicant regards as the invention. A claim referring to the specification is improper. Exparte Fressola, 27 USPQ 2d 1608 (US Pat. & Trade Mark Bd. Pat. App. & Int 1993).

Therefore, all the rejections are maintained.

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE

MONTHS from the mailing date of this action. In the event a first reply is filed within TWO

MONTHS of the mailing date of this final action and the advisory action is not mailed until after

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the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this

final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Taylor Victor Oh whose telephone number is 571-272-0689. The examiner can normally be reached on 8:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cecilia Tsang can be reached on 571-272-0562. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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Cecilia J. Tsang
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